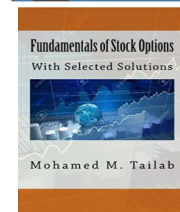
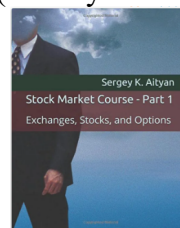




Lincoln University
BA 465 Investments (The Stock Market)
COURSE SYLLABUS
Spring 2023

- Instructor:** Dr. Mohamed Tailab
- Lecture Schedule** Wednesday, 12:30 pm – 3:15 pm
- Credit:** 4 units (3 units/45 lecture hours + 1–unit individual research project)
- Level** Mastery 2/Research (M2R)
- Office Hours:** Monday, 12:00pm – 2:30pm, 4th Floor, Room 407 (Faculty Office)
Wednesday, 10:00am – 11:30 am, 4th Floor, Room 407 (Faculty Office)
E-mail: mtailab@lincolnuca.edu
- Textbooks:** **Sergey K. Aityan**, (2020). Stock Market Course – Part I: Exchanges, Stocks, and Options, 2nd Edition, Amazon KDP, 425pp. ISBN-13: 978-1654346119 ISBN-10: 165434611X.
- Tailab, Mohamed**, Fundamentals of Stock Options: With Selected Solutions, 2016, CreateSpace Independent Publishing Platform, first edition.
- Recommended:** Wall Street Journal, Finance Times
- Prerequisite:** BA 303 Finance Management.
- Last Revision:** December 29, 2022
- NOTE:** This schedule is subject to change



COURSE DESCRIPTION

In-depth survey of stock market including nature of stocks, stock exchanges, indexes, trading securities and options, convertible securities, mutual funds, investment banks, hedge funds, and investment clubs, and dividend policy. Students learn trading mechanisms, tactics, and strategy as well as foundations of fundamental, technical, and sentimental analysis. A one-unit written research project and its oral presentation are required for the course (4 units)

Prerequisite: BA 303

EDUCATIONAL OBJECTIVES

The purpose of this course is to provide a comprehensive introduction of the guidelines for fundamentals of securities market. The course will provide you with a structure for thinking about investment theory and show you how to address investment problems in a systematic manner.

COURSE LEARNING OUTCOMES ¹

	Course learning outcomes (CLOs)	Program Learning Outcomes	Institutional Learning Outcomes	Assessment activities
1	Develop a deep understanding of stock market processes in order to formulate an effective risk management strategy.	PLO 1	ILO 1c, ILO 2c	Course project, quizzes, midterm/final exam
2	Be familiar with the modern trading approaches.	PLO 2	ILO 1c, ILO 2c, ILO 4c	Course project, quizzes, midterm/final exam
3	Be able to implement a trading strategy in accordance with financial and business objectives.	PLO 5	ILO 4c, ILO 5c	Course project, quizzes, midterm/final exam

OTHER MATERIALS

Large notebook, mechanical pencil, eraser, pen, 3” x 5” index cards, rubber bands, ruler, tab dividers, and quick study guide (Available in the Library). Assignments and projects require students to actively use resources of the library. Detailed guide to business resources of the library as well as the description of Lincoln University approach to information literacy are available at the [Center for Teaching and Learning](http://ctl.lincolnuca.edu) website (ctl.lincolnuca.edu).

KEYS TO SUCCESS IN INVESTMENT BA 465

The most important to success in this course is to have a good preparation for class, I strongly recommend you follow these steps:

1. Before class, read the PowerPoint slides posted on Canvas.
2. Take notes in the class; summarize the main ideas in your index cards.
3. If you are having difficulty, read the specified pages of the textbook and work through the end of chapter problems and solutions to supplement your understanding of the material.
4. If you are still facing some challenges, stop by my office either during office hours or any time by appointment through e-mail.

GRADING

All activities will be graded according to the points as shown below.

Grade	A	A-	B+	B	B-	C+	C	C-	D+	D	F
Points	95-100	90-94	87-89	84-86	80-83	77-79	74-76	70-73	67-69	60-66	0-59
Tentative Weights	Participation and Homework					20%		Course group project			10%
	Course individual project					25%		Mid-term exam			20%
								Final Exams			25%

¹ Detailed description of learning outcomes and information about the assessment procedure are available at the [Center for Teaching and Learning](http://ctl.lincolnuca.edu) website (ctl.lincolnuca.edu).

Note: No student will get a grade above B+ without presenting, handling every homework and report, and taking the midterms. Missing the final exam will result in a failing grade for the course, no matter how many points you have accumulated.

Your participation is highly required to make a better learning environment not only for you, but for others as well. If you are looking for a course where you sit and listen, this is NOT the class for you.

CLASSROOM PROTOCOL

Students are expected to arrive on time and be prepared to participate. If for some reason you are running late, do not skip the class. I prefer that you come late than not at all. If you must leave class early, please tell the instructor before class starts. If you cannot attend the class or other activities for a strong reason, please inform the instructor in advance so that arrangements can be made to complete any missed work after the absence. All communication and electronic devices should be turned off or silent in the classroom (cell phones, music devices, etc.). A computer with Office 365 is required to attend the class. Lincoln University Computer provides students with free Office 365.

ATTENDANCE

Students are expected to attend each class session. If you cannot attend a class due to a valid reason, please notify the instructor prior to the class. Students will not receive any credit for missed assignments.

Administrative policies on absences from classes are as follows:

- A student receives a warning notice after missing 20% of class meetings completed in a course.
- A student is placed on probation after missing 30% of class meetings completed in a course.
- A student may be dismissed after missing more than 40% of class meetings completed in a course

HOMEWORK

The homework problems cover all chapters from one through 14 in the textbook. Students should work with their study team members to complete the homework. There will be three to five homework problems per chapter and each team will include among three to five members (Due to the class size), and each team member will complete one homework problem per chapter. The assignments will be indicated by the end of each lecture. Each member is responsible for completing his or her share of the homework. After finishing the homework, students must submit their homework on time. The title page must include a student's name, ID, and what part of the assignment was completed by each group member. To approve the expectation that everyone in the group contributes their fair share of the work, one student from each group will be randomly selected to resolve the assignment on the board. **No homework will be accepted after the final due date listed.** Students will receive feedback from the instructor in the class, and they will be able to correct answers to master the material. The first assignment is to read the course syllabus, which would be delivered by hand. Otherwise, the syllabus can be downloaded from the LU website, and is available on Canvas as well.

EXAMS

Two exams [**Written Essay Exams and Closed Book**] will be given throughout the course. Each exam will run for two hours. Please note that the exams will be given from [**12:30 pm – 3:15**

pm]. If you need additional time on an exam because of a university-recognized disability, I must be informed directly by the admission office. I will make whatever accommodations are recommended by them. The exams are not comprehensive, and will cover only the materials indicated in the box below. Students are expected to take all exams at the scheduled time in the classroom. If a student is unable to take an exam at the scheduled time due to an emergency or extenuating circumstance, the student can take the exam during the instructor's office hours. Students **MUST** come to the exam with laptops that have Microsoft Excel or a similar spreadsheet program. It will be allowed to use a blank worksheet, not the previous written worksheets. Students will be allowed to write any formulas from the class notes or the book that they feel that they may need on one 8, 5" × 11" two-sided sheet of notes. **Missing the final exam will result in a failing grade for the course, no matter how much points you have accumulated.**

PROJECT

1. **Group reserach project:** The project will be assigned as a group project. Each group will build and manage the portfolio of US stocks.

Project stage 1. Initial selection of stocks: Diversified stock portfolio by investing in five stocks chosen from five different industries.

Project stage 2. Valuation of your portfolio

Project stage 3: Discuss any changes you have made in your portfolio.

Project stage 4: Final Project Submission. Write a short report with details of the investment strategies and performance evaluation.

2. **Individual Research Project:** Each student registered for a 400-level course must complete, submit, and present a one-unit research project in addition to the coursework described in this syllabus. The specific topic will be assigned by the instructor. The project requires 45 hours of self-study.

CHEATING AND PLAGIARISM

Cheating is the actual or attempted practice of fraudulent or deceptive acts for the purpose of improving one's grade or obtaining course credit. Acts of cheating include, but are not limited to, the following: (a) plagiarism; (b) copying or attempting to copy from others during an examination or on an assignment; (c) communicating test information with another person during an examination; (d) allowing others to do an assignment or portion of an assignment; (e) using a commercial term paper service. Penalties for cheating and plagiarism range from a 0 or F on an assignment, through an F for the course, to expulsion from the university. Anyone caught cheating or plagiarizing will receive a zero (0) on the exam or assignment, and the instructor may report the incident to the Dean of Students, who may place related documentation in a file. Repeated acts of cheating may result in an F in the course and/or disciplinary action.

ACADEMIC HONESTY

In the advancement of knowledge requires that all students and instructors respect the integrity of one another's work and recognize the important of acknowledging and safeguarding intellectual property. Accordingly, Lincoln expects the highest standards of honesty and integrity from all members of the academic community. As a student, you must know that all forms of cheating, falsification, and plagiarism are against the rules of this course and of Lincoln University. It is your responsibility for asking the instructor for clarification, if you are not sure of what constitutes academic dishonesty are.

DISCUSSION AND PARTICIPATION

Participation includes preparing for class, completing assignments on time, engaging in class discussions and team presentations and homework explanations. Students are expected to be prepared for and participate in each week. You would lose some participation points if you were absent without informing the instructor, and if your behavior in the class obstructs other students to learn by leaving and returning to class while it is in session.

EXTRA HELP

If you find the course material challenging, please contact me anytime. So, I can help you by clarifying lecture material. Additionally, do not wait to see me, if you are struggling.

METHODS OF INSTRUCTION

The instructor will conduct the course by giving lectures, facilitating solutions to in-class exercises and conducting discussions to encourage class participation by students. Students must read each chapter before class according to the tentative schedule provided (see below), and they are responsible to ask questions and request clarifications during the class session. The PowerPoint slides are designed to give students a head start in learning course materials, but they are not intended to substitute.

E-MAIL AND CANVAS

E-mail and Canvas are required for this course. E-mail is the best way to contact the instructor. E-mails are generally answered within 24 hours during the week. If you do not hear from me within that time, please contact me again. Students are required to use their university e-mail for correspondence, and are responsible for checking their account daily for correspondence from the lecturer.

WORK ETHICS

Lincoln University instructs and evaluates students on work ethics. These work ethics have been identified and defined as essential for student success: appearance, attendance, attitude, character, communication, cooperation, organizational skills, productivity, respect, and teamwork. So, Lincoln University' students are expected to adhere to the highest standards of these 10 character traits in their behavior as well as their coursework.

RECOMMENDATION LETTERS

Students are eligible to apply for a Board Trustees Scholarship. This scholarship is awarded from funds provided by the University. The instructor gives only two recommendation letters one week before the semester ends. The best candidates should match the work ethics.

COURSE SCHEDULE

Date	Topics	
25-Jan	Introduction to the course (Syllabus), Q&A	
	Pre-test Introductions, Why invest? Why the stock market?	
1-Feb	Simulation Discussion Stock Exchanges, Indices, Brokerage, and Brokers	Ch.2

8-Feb	Stock Trading Transactions and Position <i>Simulation Discussion</i>	Ch.3
15-Feb	Order Types and Mechanisms of Stock Trading	Ch.4
	<i>Simulation Discussion</i>	
22-Feb	Stocks Research and Analysis <i>Simulation Discussion</i>	Ch.5
1-Mar	Fundamental Analysis <i>Simulation Discussion</i>	Ch.6
8-Mar	(a) Foundations of Technical Analysis	Ch.7
	(b) Advanced Technical Analysis (beginning)	Ch.8
22-Mar	Midterm Exam	Ch.1-Ch.8
39-Mar	Exam results review Market Efficiency and Behavioral Finance	Ch.8
5-Apr	Derivative Securities: Options	Ch.1
12-Apr	<i>Simulation Discussion</i>	Ch.1
	Long and Short Options	
19-Apr	Managing Your Own Portfolio	Lecture notes
26-Apr	Course Project Presentation and Defense	
3-May	Simulation Discussion Analyze greatest stock winners	
10-May	Final Examination	