



Lincoln University

BA 365 – Investment: Stock Market

COURSE SYLLABUS

Spring, 2018

- Instructor:** Prof. Sergey Aityan
Lecture Schedule: Thursday, 9:00 AM – 11:45 AM
Credit: 3 units / 45 lecture hours
Level: Mastery 2 (M2)
Office Hours: Monday, 11:15 AM – 12:15 PM
Students are advised to schedule appointments by signing their names on the appointment list which is located on the information board next to the professor's office that will ensure exact appointment time without waiting.
Additional office hours by appointment
e-mail: aityan@lincolnuca.edu
Phone: (510) 628-8016
- Assistant to the Instructor:** TBD
e-mail: TBD
- Textbooks:** Sergey K. Aityan (2016). *Stock Market Investment*, CreateSpace, ISBN-13: 978-1536817904
ISBN-10: 1536817902

Last Revision: January 3, 2018

CATALOG DESCRIPTION

In-depth survey of stock market including nature of stocks, stock exchanges, indices, trading securities and options, convertible securities, mutual funds, investment banks, hedge funds, investment clubs, and dividend policy. Students learn trading mechanisms, tactics, and strategy as well as foundations of fundamental, technical, and sentimental analysis. (3 units)

Prerequisite: BA 303

COURSE OBJECTIVES

- Students to understand the concept, scope, and fundamentals of securities market.
- Students to understand operations, quotations, and trading techniques in the stock market.
- Students to understand stock market research and analysis sources, methods, and techniques.
- Students to understand stock market investment strategy and tactics.

- Students to understand the specifics of global environment and its impact on stock markets around the globe.

COURSE LEARNING OUTCOMES¹

	Course LO	Program LO	Institutional LO	Assessment Activities
1	Develop a deep understanding of stock market processes in order to formulate an effective risk management strategy.	PLO 1	ILO 1b, ILO 2b	Course project, quizzes, midterm/final exam
2	Be familiar with the modern trading approaches	PLO 2	ILO 1b, ILO 2b, ILO 4b	Course project, quizzes, midterm/final exam
3	Be able to implement a trading strategy in accordance with financial and business objectives	PLO 5	ILO 4b, ILO 5b	Course project, quizzes, midterm/final exam

REQUIREMENTS

This is a direct classroom instruction course.

Continuous assessment is emphasized. Written or oral quizzes will be given every week. Reading and writ home tasks and “business case study” assignments will be made throughout the course. Students must complete all home tasks, other assignments, and take all quizzes, mid-term exam and final exam on the ***dates due***. Zero tolerance to plagiarism and cheating is enforced.

COURSE PROJECT

Every student must complete, submit, and present the assigned course project.

ATTENDANCE

Students are expected to attend each class session. If you cannot attend a class due to a valid reason, please notify the instructor prior to the class.

CLASSROOM CONDUCT

- Students have to be in class in time before lecture begins.
- Students should not use cell phones in class. Cell phones have to be turned off while in class.
- Students are encouraged to ask questions and make comments but first ask permission to do so.
- Students should not disrupt learning process in class.
- Student conduct that disrupts the learning process will not be tolerated and instructors may ask students to leave the class session, which could eventually lead to

¹ Detailed description of learning outcomes and information about the assessment procedure are available at the [Center for Teaching and Learning](http://ctl.lincolnuca.edu) website (ctl.lincolnuca.edu).

administrative disciplinary action and/or removal from the class depending on the severity of the misconduct and if there are patterns of misconduct.

EXAMS

Both, midterm and final exams are structured as written essay to answer the given questions. Each exam includes six questions. The essay must be written clearly and easy to read, structurally with clear logical presentation of the answers. Graphs, charts, tables, and other supporting illustrations are required if needed. Examples to illustrate the answers are required.

Exams will cover all assigned chapters, any additional readings or supplementary materials covered in class. The final exam is comprehensive, i.e. includes the whole course. The exams are neither “open book” nor “open notes.”

Cheating in exam results in immediate termination of the exam, grade “F” with ZERO points, and report to the dean.

GRADING AND SCORING POLICY

All activities will be graded according to the points as shown below.

Grade	A	A-	B+	B	B-	C+	C	C-	D+	D	F
Points	93-100	90-92	87-89	83-86	80-82	77-79	73-76	70-72	67-69	60-66	0-59

In exams, every answer is graded by points from 0 to 100 and the total points for an exam are calculated as the average of the points received for all answers in the exam.

The final grade for the course will be given as the total weighted score for all activities according to the percentage shown in the table below.

Activity	Time	Percent
Quizzes, home tasks, and classroom activities	Every week	20%
Course project		20%
Mid-term exam	In the middle of the course	30%
Final exam	Last week of the course	30%

If both grades for the midterm and final exams are “F” the term grade for the course is “F” regardless of the grades for other activities.

COURSE SCHEDULE

Lectures		Topic	Chapters
#	Date		
1	18-Jan	(a) About the Course (b) Corporations and Stocks	Ch. 1
2	25-Jan	Stock Exchanges, Indices, Brokerage, and Brokers	Ch. 2
3	1-Feb	Stock Trading Transactions and Positions	Ch. 3
4	8-Feb	Order Types and Mechanisms of Stock Trading	Ch. 4
5	15-Feb	Stocks Research and Analysis	Ch. 5
6	22-Feb	Fundamental Analysis	Ch. 6

7	1-Mar	Foundations of Technical Analysis	Ch. 7
8	8-Mar	Advanced Technical Analysis	Ch. 8
	15-Mar	Spring Break – No classes	
9	22-Mar	Midterm Exam	Ch. 1 - 8
10	29-Mar	Sentimental Analysis and Behavioral Investment	Ch. 9
11	5-Apr	Options	Ch. 10
12	12-Apr	Long and Short Options	Ch. 11
13	19-Apr	Hedging with Covered and Protective Options	Ch. 12
14	26-Apr	Comprehensive Final Exam	Ch. 1 - 12
15	3-May	Course Project Presentation and Defense	

CHEATING AND PLAGIARISM

Cheating is the actual or attempted practice of fraudulent or deceptive acts for the purpose of improving one's grade or obtaining course credit. Acts of cheating include, but are not limited to, the following:

- (a) plagiarism;
- (b) copying or attempting to copy from others during an examination or on an assignment;
- (c) communicating test information with another person during an examination;
- (d) allowing others to do an assignment or portion of an assignment;
- (e) using a commercial term paper service.

Penalties for cheating and plagiarism range from a 0 or F on an assignment, through an F for the course, to expulsion from the university. Anyone caught cheating or plagiarizing will receive a zero (0) on the exam or assignment, and the instructor may report the incident to the Dean of Students, who may place related documentation in a file. Repeated acts of cheating may result in an F in the course and/or disciplinary action.

LETTERS OF RECOMMENDATION

- Letters of recommendation will be provided upon request to students, who have completed all course requirements and received grade “A” for the course.

OTHER COMMENTS

- Please participate. What you put into the class will determine what you get out of it – and what others get out of it.
- Please come on time. Late arrivals disturb everyone else.
- If you miss a class, you are responsible for getting notes/slide printouts on the material covered from a classmate or the instructor.
- To avoid distracting noise in class, cellular phones must be turned off or the ringing mode silenced.
- Questions and comments during the class are welcome. Do not hesitate to ask questions – do not leave anything unclear for you.
- Assignments and projects require students to actively use resources of the library. Detailed guide to business *resources of the library* as well as the description of Lincoln University approach to *information literacy* are available at the [Center for Teaching and Learning](http://ctl.lincolnuca.edu) website (ctl.lincolnuca.edu).

MODIFICATION OF THE SYLLABUS

The instructor reserves the right to modify this syllabus at any time during the semester. Announcements of any changes will be made in a classroom.